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2 A p p e a r a n c e s :

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DEBEVOISE & PLIMPTON, ESQS.  
875 Third Avenue  
New York, New York 10022

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BY: RODNEY W. OTT, ESQ.,

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of Counsel

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ALSO PRESENT:

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HARMON McALLISTER

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(Whereupon, 63 documents marked  
Pollice Exhibits 1 through 63 for  
identification, as of this date.)

MR. OTT: These are the  
distributions.

We have marked as Pollice Exhibit 1  
a list of the names of the Scientific  
Advisory Board members from 1954 until  
1992.

We have marked as Pollice Exhibit 2  
the curriculum vitae of Howard Bancroft  
Andervont.

We have marked as Pollice Exhibit  
No. 3 the curriculum vitae of Richard J.  
Bing.

We have marked as Pollice Exhibit  
No. 4 the CV of Roswell K. Boutwell.

We have marked as Pollice Exhibit  
No. 5 the CV of Drummond H. Bowden.

We have marked as Pollice Exhibit  
No. 6 the curriculum vitae of Michael James  
Brennan.

We have marked as Pollice Exhibit  
No. 7 the curriculum vitae of Dr. McKeen

Cattell.

We have marked as Pollice Exhibit  
No. 8 the curriculum vitae of Dr. Julius H.  
Comroe.

We have marked as Pollice Exhibit  
No. 9 the curriculum vitae of John E.  
Craighead.

We have marked as Pollice Exhibit  
No. 10 the curriculum vitae of Joseph D.  
Feldman.

We have marked as Pollice Exhibit  
No. 11 the curriculum vitae of William  
Elmond Gardner.

We have marked as Pollice Exhibit  
No. 12 the curriculum vitae of Peter M.  
Howley.

We have marked as Pollice Exhibit  
No. 13 the curriculum vitae of Robert J.  
Huebner.

We have marked as Pollice Exhibit  
No. 14 the curriculum vitae of Jeffrey  
Robert Idle.

We have marked as Pollice Exhibit  
No. 15 the curriculum vitae of Leon Horace

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Jacobson.

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We have marked as Pollice Exhibit

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No. 16 the curriculum vitae of Manfred

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Karnovsky.

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We have marked as Pollice Exhibit

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No. 17 the curriculum vitae of Alfred J.

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Knudson, Jr.

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We have marked as Pollice Exhibit

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No. 18 the curriculum vitae of Dr. Paul

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Kotin.

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We have marked as Pollice Exhibit

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No. 19 the curriculum vitae of Dr. Clarence

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Cook Little.

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We have marked as Pollice Exhibit

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No. 20 the curriculum vitae of Clayton G.

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Loosli.

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We have marked as Pollice Exhibit

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No. 21 the curriculum vitae of Henry T.

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Lynch.

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We have marked as Pollice Exhibit 22

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the curriculum vitae of Dr. Kenneth Merrill

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Lynch.

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We have marked as Pollice Exhibit 23

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the curriculum vitae of Hans Meier.

We have marked as Pollice Exhibit 24  
the curriculum vitae of Gordon Barry  
Pierce.

We have marked as Pollice Exhibit  
25 the curriculum vitae of Dr. Stanley  
Philip Reimann.

We have marked as Pollice Exhibit 26  
the curriculum vitae of Dr. William Francis  
Rienhoff.

We have marked as Pollice Exhibit 27  
the curriculum vitae of Gordon Sato.

We have marked as Pollice Exhibit 28  
the curriculum vitae of Sheldon C. Sommers.

We have marked as Pollice Exhibit 29  
the curriculum vitae of Peter G. Vogt.

We have marked as Pollice Exhibit 30  
the curriculum vitae of Lee W. Wattenburg.

We have marked as Pollice Exhibit 31  
the curriculum vitae of Dr. Edwin B.  
Wilson.

We have marked as Pollice Exhibit 32  
the curriculum vitae of John P. Wyatt.

We have marked as Pollice Exhibit 33  
the 1956 report of the Scientific Director

of the Tobacco Research Committee.

We have marked as Pollice Exhibit 34 the 1957 report of the Scientific Director of the Tobacco Industry Research Committee.

We have marked as Pollice Exhibit 35 the 1958 report of the Scientific Director of the Tobacco Industry Research Committee.

We have marked as Pollice Exhibit 36 the 1959 report of the Scientific Director of the Tobacco Industry Research Committee.

We have marked as Pollice Exhibit 37 the 1960 report of the Scientific Director of the Tobacco Industry Research Committee.

We have marked as Pollice Exhibit 38 the 1961 report of the Scientific Director of the Tobacco Industry Research Committee.

We have marked as Pollice Exhibit 39 the 1962 report of the Scientific Director of the Tobacco Industry Research Committee.

We have marked as Pollice Exhibit 40 the 1963 to 1964 the report of the Scientific Director of the Council for Tobacco Research-U.S.A.

We have marked as Pollice Exhibit 41

the report of the Scientific Director  
for 1964 to '65, for the Council for  
Tobacco Research-U.S.A.

We have marked as Pollice Exhibit 42  
the report of the Scientific Director for  
1965 to '66 for the Council for Tobacco  
Research-U.S.A.

We have marked as Pollice Exhibit 43  
the 1966 to '67 report of the Scientific  
Director for the Council for Tobacco  
Research-U.S.A.

We have marked as Pollice Exhibit 44  
the 1968 to '69 report of the Scientific  
Director for the Council for Tobacco  
Research-U.S.A.

We have marked as Pollice Exhibit 45  
the 1969 to 1970 report of the Scientific  
Director for the Council for Tobacco  
Research-U.S.A.

We have marked as Pollice Exhibit 46  
the annual report of the Council for  
Tobacco Research-U.S.A., Incorporated, for  
the year ending June 30, 1971.

We have marked as Pollice Exhibit 47

ORIGINAL  
61373 2413



the 1972 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 48 the 1973 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 49 the 1974 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 50 the 1975 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 51 the 1976 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 52 the 1977 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 53 the 1978 report of the Council for Tobacco Research-U.S.A.

We have marked as Pollice Exhibit 54 the 1979 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 55

the 1980 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 56 the 1981 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 57 the 1982 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 58 the 1983 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 59 the 1984 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 60 the 1985 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 61 the 1986 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 62 the 1987 report of the Council for Tobacco Research-U.S.A., Incorporated.

We have marked as Pollice Exhibit 63

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a 15-page list titled "Partial listing of research institutions CTR grantees at those institutions and dates the grantee received CTR funding at that institution."

L O R R A I N E P O L L I C E, called as a witness by Defendant R.J. Reynolds Tobacco Company, being first duly sworn by the Notary Public (Ceil Weser), testified as follows:

EXAMINATION UPON WRITTEN QUESTIONS

PROPOUNDED BY R.J. REYNOLDS:

1 Q. Please state your full name and business address.

A. Lorraine Pollice, Council for Tobacco Research, 900 Third Avenue, New York 10022.

2 Q. How long have you been employed by the Council for Tobacco Research ("CTR")?

A. Since 1975.

3 Q. What is your present position at CTR?

A. Secretary and treasurer.

4 Q. How long have you held your current position at CTR?

A. Secretary, 1984. Treasurer, 1991.

5 Q. Does CTR maintain in its files copies

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EXHIBIT

51973 2416

1 Pollice 12

2 of the curriculum vitae for its Scientific  
3 Advisory Board members for the period 1954-1987?

4 A. Yes.

5 6 Q. Does CTR maintain these copies in the  
6 regular course of its business?

7 A. Yes.

8 7 Q. Does CTR maintain in its files a list  
9 of the members of its Scientific Advisory Board  
10 for the period 1954-1987?

11 A. Yes.

12 8 Q. Does CTR maintain this list in the  
13 regular course of business?

14 A. Yes.

15 9 Q. Is the document attached hereto as  
16 Pollice Exhibit 1 a copy of that list?

17 A. Yes.

18 10 Q. According to that list, who were the  
19 members of CTR's Scientific Advisory Board for the  
20 period 1954-1987?

21 A. McKeen Cattell, Julius H. Comroe,  
22 Jr., Leon O. Jacobson, Paul Koton, Clarence Cook  
23 Little, Kenneth M. Lynch, Stanley P. Reimann,  
24 William F. Reinhoff, Jr., Edwin B. Wilson, Richard  
25 J. Bing, Howard B. Andervont, Clayton G. Loosli,

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2 Sheldon C. Sommers, Robert J. Huebner, William U.  
3 Gardner, Hans Meier, John P. Wyatt, Avrilla Lebow,  
4 Henry T. Lynch, Joseph D. Feldman, Lee W.  
5 Wattenburg, John E. Craighead, Roswell K.  
6 Boutwell, Gordon H. Sato, Michael J. Brennan,  
7 Drummond H. Bowden, G. Barry Pierce, Jr., Peter M.  
8 Howley, Manfred L. Karnovsky, Alfred G. Knudson,  
9 Jr., Jeffrey R. Idle, Peter K. Vogt, and James F.  
10 Glenn.

11 11 Q. Does CTR maintain in its files copies  
12 of the curriculum vitae for all of its Scientific  
13 Directors?

14 A. Yes.

15 12 Q Does CTR maintain these copies in the  
16 regular course of its business?

17 A. Yes.

18 13 Q. Is the document attached as Pollice  
19 Exhibit 2 a true and correct copy of the  
20 curriculum vitae of Howard B. Andervont, Sc. D.,  
21 that is maintained in CTR's files?

22 A. Yes.

23 14 Q. Is the document attached as Pollice  
24 Exhibit 3 a true and correct copy of the  
25 curriculum vitae of Richard J. Bing, M.D., that is

019/3 2418

Produced by RUC in HUNTER

1 Pollice 14

2 maintained in CTR's files?

3 A. Yes.

4 15 Q. Is the document attached as Pollice

5 Exhibit 4 a true and correct copy of the

6 curriculum vitae of Roswell K. Boutwell, Ph.D,

7 that is maintained in CTR's files?

8 A. Yes.

9 16 Q. Is the document attached as Pollice

10 Exhibit 5 a true and correct copy of the

11 curriculum vitae of Drummond H. Bowden, M.D., that

12 is maintained in CTR's files?

13 A. Yes.

14 17 Q. Is the document attached as Pollice

15 Exhibit 6 a true and correct copy of the

16 curriculum vitae of Michael J. Brennan,

17 M.D., that is maintained in CTR's files?

18 A. Yes.

19 18 Q. Is the document attached as Pollice

20 Exhibit 7 a true and correct copy of the

21 curriculum vitae of McKeen Cattell, Ph.D., M.D.,

22 that is maintained in CTR's files?

23 A. Yes.

24 19 Q. Is the document attached as Pollice

25 Exhibit 8 a true and correct copy of the

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curriculum vitae of Julius H. Comroe, Jr., M.D.,  
that is maintained in CTR's files?

A. Yes.

20 Q. Is the document attached as Pollice  
Exhibit 9 a true and correct copy of the  
curriculum vitae of John E. Craighead, M.D., that  
is maintained in CTR's files?

A. Yes.

21 Q. Is the document attached as Pollice  
Exhibit 10 a true and correct copy of the  
curriculum vitae of Joseph D. Feldman, M.D., that  
is maintained in CTR's files?

A. Yes.

22 Q. Is the document attached as Pollice  
Exhibit 11 a true and correct copy of the  
curriculum vitae of William U. Gardner, Ph.D.,  
that is maintained in CTR's files?

A. Yes.

23 Q. Is the document attached as Pollice  
Exhibit 12 a true and correct copy of the  
curriculum vitae of Peter M. Howley, M.D., that is  
maintained in CTR's files?

A. Yes.

24 Q. Is the document attached as Pollice

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Exhibit 13 a true and correct copy of the curriculum vitae of Robert J. Huebner, M.D., that is maintained in CTR's files?

A. Yes.

25 Q. Is the document attached as Pollice Exhibit 14 a true and correct copy of the curriculum vitae of Jeffrey R. Idle, Ph.D., that is maintained in CTR's files?

A. Yes.

26 Q. Is the document attached as Pollice Exhibit 15 a true and correct copy of the curriculum vitae of Leon O. Jacobson, M.D., that is maintained in CTR's files?

A. Yes.

27 Q. Is the document attached as Pollice Exhibit 16 a true and correct copy of the curriculum vitae of Manfred Karnovsky, Ph.D., that is maintained in CTR's files?

A. Yes.

28 Q. Is the document attached as Pollice Exhibit 17 a true and correct copy of the curriculum vitae of Alfred G. Knudson, Jr., M.D., Ph.D., that is maintained in CTR's files?

A. Yes.

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2 29 Q. Is the document attached as Pollice  
3 Exhibit 18 a true and correct copy of the  
4 curriculum vitae of Paul Kotin, M.D., that is  
5 maintained in CTR's files?

6 A. Yes.

7 30 Q. Is the document attached as Pollice  
8 Exhibit 19 a true and correct copy of the  
9 curriculum vitae of Clarence Cook Little, Sc.D.,  
10 LL.D., that is maintained in CTR's files?

11 A. Yes.

12 31 Q. Is the document attached as Pollice  
13 Exhibit 20 a true and correct copy of the  
14 curriculum vitae of Clayton G. Loosli, Ph. D.,  
15 M.D., that is maintained in CTR's files?

16 A. Yes.

17 32 Q. Is the document attached as Pollice  
18 Exhibit 21 a true and correct copy of the  
19 curriculum vitae of Henry T. Lynch, M.D., that is  
20 maintained in CTR's files?

21 A. Yes.

22 33 Q. Is the document attached as Pollice  
23 Exhibit 22 a true and correct copy of the  
24 curriculum vitae of Kenneth Merrill Lynch, M.D.,  
25 Sc.D., that is maintained in CTR's files?

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A. Yes.

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34 Q. Is the document attached as Pollice  
Exhibit 23 a true and correct copy of the  
curriculum vitae of Hans Meier, D.V.M., that is  
maintained in CTR's files?

7

A. Yes.

8

35 Q. Is the document attached as Pollice  
Exhibit 24 a true and correct copy of the  
curriculum vitae of G. Barry Pierce, M.D., that is  
maintained in CTR's files?

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A. Yes.

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36 Q. Is the document attached as Pollice  
Exhibit 25 a true and correct copy of the  
curriculum vitae of Stanley P. Reimann, M.D.,  
Sc.D., that is maintained in CTR's files?

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17

A. Yes.

18

37 Q. Is the document attached as Pollice  
Exhibit 26 a true and correct copy of the  
curriculum vitae of William F. Rienhoff, M.D.,  
that is maintained in CTR's files?

19

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A. Yes.

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38 Q. Is the document attached as Pollice  
Exhibit 27 a true and correct copy of the  
curriculum vitae of Gordon H. Sato, Ph.D., that is

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1 Pollice 19

2 maintained in CTR's files?

3 A. Yes.

4 39 Q. Is the document attached as Pollice  
5 Exhibit 28 a true and correct copy of the  
6 curriculum vitae of Sheldon C. Sommers, M.D., that  
7 is that is maintained in CTR's files?

8 A. Yes.

9 40 Q. Is the document attached as Pollice  
10 Exhibit 29 a true and correct copy of the  
11 curriculum vitae of Peter K. Vogt, Ph.D., that is  
12 maintained in CTR's files?

13 A. Yes.

14 41 Q. Is the document attached as Pollice  
15 Exhibit 30 a true and correct copy of the  
16 curriculum vitae of Lee W. Wattenburg, M.D., that  
17 is maintained in CTR's files?

18 A. Yes.

19 42 Q. Is the document attached as Pollice  
20 Exhibit 31 a true and correct copy of the  
21 curriculum vitae of Edwin B. Wilson, Ph.D., that  
22 is maintained in CTR's files?

23 A. Yes.

24 43 Q. Is the document attached as Pollice  
25 Exhibit 32 a true and correct copy of the

1 Pollice 20

2 curriculum vitae of John P. Wyatt, M.D., that is  
3 maintained in CTR's files?

4 A. Yes.

5 44 Q. Has CTR published annual reports?

6 A. Yes.

7 45 Q. If so, for what years?

8 A. 1954 to 1992.

9 46 Q. Please review Pollice Exhibits 33  
10 through 62 attached hereto. Can you identify  
11 them?

12 A. Yes.

13 47 Q. What are they?

14 A. Copies of CTR's annual reports from  
15 1956 to 1987.

16 48 Q. Who is the person now employed by CTR  
17 who is most knowledgeable about these CTR annual  
18 reports?

19 A. I believe I am.

20 49 Q. How do you recognize Pollice Exhibit  
21 33 through 62?

22 A. I have a complete set of original CTR  
23 annual reports in my office, and these are copies.

24 50 Q. Are the originals of Pollice Exhibits  
25 33 through 62 maintained in CTR's files?

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A. Yes.

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51 Q. During your employment with CTR have you observed how these CTR annual reports are prepared?

4

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A. Yes.

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52 Q. How have these CTR annual reports been prepared during that period?

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A. We request from the grantees all CTR acknowledged reprints. When we receive them, the abstract at the beginning of the reprint is copied and proofed for spelling errors. It is categorized according to the areas of research in the annual report.

It is sent to the printer and checked after it is returned from the printer. The lists of active and completed projects in the back of the report are updated from information in CTR's grant files from information received from the grantees.

53 Q. Have these CTR annual reports been prepared by CTR in the ordinary course of its business?

A. Yes.

54 Q. Has it been CTR's regular practice,

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2 since you became employed by CTR, to prepare these  
3 CTR annual reports?

4 A. Yes.

5 55 Q. Did the persons who prepared these  
6 annual reports during the period you have been  
7 employed by CTR, have knowledge about what is  
8 stated in these CTR annual reports?

9 A. Yes.

10 56 Q. Have you become personally involved  
11 in the preparation of the CTR annual reports?

12 A. Yes.

13 57 Q. For how long have you had such  
14 involvement?

15 A. Since 1987.

16 58 Q. What has been your role in the  
17 preparation of these CTR annual reports?

18 A. I coordinated the staff's work on the  
19 annual report, help with proofreading and deal  
20 with the printer.

21 59 Q. Do CTR's annual reports list the  
22 grants-in-aid approved by CTR's Scientific  
23 Advisory Board during the prior year?

24 A. Each annual report lists grants that  
25 were active during the date on the cover of the

51973 2427

1  
2 report.

3 60 Q. Do CTR annual reports identify the  
4 recipients of the CTR Scientific Advisory Board  
5 grants-in-aid and identify the institutions with  
6 which they are affiliated?

7 A. Yes.

8 61 Q. Do the CTR annual reports identify  
9 the publications resulting from research funded by  
10 CTR grants-in-aid?

11 A. Each annual report identifies  
12 publications that appeared in the year of the  
13 report.

14 62 Q. According to the annual report  
15 attached hereto as Pollice Exhibit 62, how many  
16 scientific publications or articles have been  
17 published from 1954 through 1987?

18 A. 3,348.

19 63 Q. Have the recipients of grants-in-aid  
20 approved by CTR's Scientific Advisory Board  
21 included scientists and researchers affiliated  
22 with the following universities and institutions?

23 Please answer after each university  
24 or institution:

25 Baylor University College of

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Medicine, Houston?

3

A. Yes.

4

Q. Baylor University, Woodlands?

5

A. Yes.

6

Q. Beth Israel Hospital?

7

A. Yes.

8

Q. Brigham and Women's Hospital?

9

A. Yes.

10

Q. Cleveland Clinic Foundation?

11

A. Yes.

12

Q. Columbia University College of

13

Physicians and Surgeons?

14

A. Yes.

15

Q. Cornell University Medical College?

16

A. Yes.

17

Q. Duke University Medical Center?

18

A. Yes.

19

Q. Harvard Medical School?

20

A. Yes.

21

Q. Harvard School of Public Health?

22

A. Yes.

23

Q. Johns Hopkins University School of

24

Medicine?

25

A. Yes.

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Q. M.D. Anderson Cancer Center, the

3

University of Texas, Houston?

4

A. Yes.

5

Q. Massachusetts General Hospital?

6

A. Yes.

7

Q. Massachusetts Institute of

8

Technology?

9

A. Yes.

10

Q. Mayo Clinic and Foundation?

11

A. Yes.

12

Q. Mount Sinai School of Medicine, New

13

York?

14

A. Yes.

15

Q. New York University Medical Center?

16

A. Yes.

17

Q. Northwestern University Medical

18

Center?

19

A. Yes.

20

Q. Rockefeller University?

21

A. Yes.

22

Q. Salk Institution for Biological

23

Studies?

24

A. Yes -- Salk Institute I think it is.

25

Q. Scripps Clinic &amp; Research Foundation?

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A. Yes.

3

Q. Stanford University?

4

A. Yes.

5

Q. Temple University of Health Sciences

6

Center?

7

A. Yes.

8

Q. The University of Chicago?

9

A. Yes.

10

Q. The University of Houston, Texas,

11

Medical Center?

12

A. Yes.

13

Q. The University of Michigan?

14

A. Yes.

15

Q. The University of Texas Medical

16

Branch, Galveston?

17

A. Yes.

18

Q. The University of Texas, Houston

19

Medical Center?

20

A. Yes.

21

Q. The University of Texas, Southwestern

22

Medical Center, Dallas?

23

A. Yes.

24

Q. UCLA Medical Center?

25

A. Yes.

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Produced by R.J.R.T.C.  
in  
HUMANITARY

Pollice

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Q. University of California (San

3

Francisco)?

4

A. Yes.

5

Q. University of California (San Diego)?

6

A. Yes.

7

Q. University of Minnesota, St. Paul?

8

A. Yes.

9

Q. University of Pennsylvania School of

10

Medicine?

11

A. Yes.

12

Q. University of Washington Medical

13

School (Seattle)?

14

A. Yes.

15

Q. University of Wisconsin, Madison?

16

A. Yes.

17

Q. Vanderbilt University School of

18

Medicine?

19

A. Yes.

20

Q. Washington University School of

21

Medicine?

22

A. Yes.

23

Q. Yale University School of Medicine?

24

A. Yes.

25

EXAMINATION UPON WRITTEN QUESTIONS

51973 2432

2 PROPOUNDED BY PLAINTIFF:

3 1 Q. For each and every one of the  
4 "scientists and researchers" who were "recipients  
5 of grants-in-aid approved by CTR's Scientific  
6 Advisory Board," and who were "affiliated with the  
7 universities and institutions" listed in Written  
8 Question No. 63, as stated in Written Question No.  
9 63, state such scientist's or researcher's:

- 10 a. name,  
11 b. field or fields of work,  
12 c. academic degree or degrees and where  
13 and when each degree was obtained,  
14 d. each of the universities or  
15 institutions with which affiliated,  
16 e. the calendar year or years of such  
17 affiliation,  
18 f. for each such grant-in-aid received,  
19 the calendar year or years of the scientist's or  
20 researcher's work on such grant-in-aid, and  
21 g. for each such grant-in-aid received,  
22 a complete description of the scientist's or  
23 researcher's affiliation with such university or  
24 institution during the calendar year or years of  
25 the scientist's or researcher's work on such

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grant-in-aid.

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MR. OTT: CTR has served written objections to plaintiff's cross-questions and here restates those objections.

6

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CTR objects to this cross-question on the ground that it is untimely.

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Rule 31(a)(4) of the Federal Rules of Civil Procedure, which applies to all pending cases in this court, requires that cross-questions be served "within 14 days after the notice and written questions are served." This cross-question was not served until 32 days after service of the notice and written questions.

CTR objects to the form of this cross-question.

CTR objects on the grounds that this cross-question is vague, ambiguous and overbroad, and seeks information that is neither relevant nor reasonably calculated to lead to admissible evidence.

CTR further objects on the ground that there is no foundation for this cross-question.

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Now the witness can go ahead and  
attempt to answer.

A. Exhibit 63 is a list of institutions  
in question 63.

It also lists some grantees at those  
institutions, and dates they received funding from  
CTR. I have checked the list of grantees and  
dates. It seems accurate. This is not an  
exhaustive list of the grantees at those  
institutions.

The rest of the information I don't  
know.

(Time noted: 11:15 a.m.)

-----  
Subscribed and sworn to before me  
this \_\_\_\_ day of \_\_\_\_\_, 1994.

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## C E R T I F I C A T E

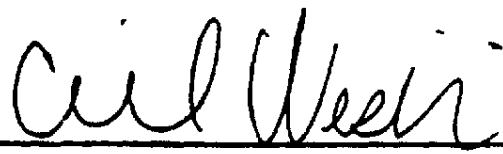
STATE OF NEW YORK )  
COUNTY OF NEW YORK ) ss.:

I, CEIL WESER, a  
Shorthand Reporter and Notary Public within  
and for the State of New York, do hereby  
certify:

That I reported the proceedings in  
the within entitled matter, and that the  
within transcript is a true record of such  
proceedings.

I further certify that I am not  
related, by blood or marriage, to any of  
the parties in this matter and that I am  
in no way interested in the outcome of this  
matter.

IN WITNESS WHEREOF, I have hereunto  
set my hand this 12<sup>th</sup> day of April,  
1994.

  
CEIL WESER

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32

2 March 31, 1994

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## I N D E X

4 WitnessPage

5 Lorraine Pollice

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## E X H I B I T S

7 PolliceFor Ident.

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List of the names of  
the Scientific Advisory  
Board members from 1954  
until 1992

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Curriculum vitae of  
Howard Bancroft Andervont

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Curriculum vitae of  
Richard J. Bing

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Curriculum vitae of  
Roswell K. Boutwell

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Curriculum vitae of  
Drummond H. Bowden

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Curriculum vitae of  
Michael James Brennan

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Curriculum vitae of  
Dr. McKeen Cattell

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Curriculum vitae of  
Dr. Julius H. Comroe

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Curriculum vitae of  
John E. Craighead

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Curriculum vitae of  
Joseph D. Feldman

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Curriculum vitae of  
William Ulmond Gardner

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March 31, 1994

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## E X H I B I T S

(Continued)

<u>Pollice</u>		<u>For Ident.</u>
12	Curriculum vitae of Peter M. Howley	4
13	Curriculum vitae of Robert J. Huebner	4
14	Curriculum vitae of Jeffrey Robert Idle	4
15	Curriculum vitae of Leon Horace Jacobson	4
16	Curriculum vitae of Manfred Karnovsky	4
17	Curriculum vitae of Alfred J. Knudson, Jr.	5
18	Curriculum vitae of Dr. Paul Kotin	5
19	Curriculum vitae of Dr. Clarence Cook Little	5
20	Curriculum vitae of Clayton G. Loosli	5
21	Curriculum vitae of Henry T. Lynch	5
22	Curriculum vitae of Dr. Kenneth Merrill Lynch	5
23	Curriculum vitae of Hans Meier	5
24	Curriculum vitae of Gordon Barry Pierce	5

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## EXHIBITS

(Continued)

<u>Pollice</u>	<u>For Ident.</u>
25	Curriculum vitae of Dr. Stanley Philip Reimann 6
26	Curriculum vitae of Dr. William Francis Rienhoff 6
27	Curriculum vitae of Gordon Sato 6
28	Curriculum vitae of Sheldon C. Sommers 6
29	Curriculum vitae of Peter G. Vogt 6
30	Curriculum vitae of Lee W. Wattenburg 6
31	Curriculum vitae of Edwin B. Wilson 6
32	Curriculum vitae of John P. Wyatt 6
33	1956 report of the Scientific Director of the Tobacco Research Committee 6
34	1957 report of the Scientific Director of the Tobacco Industry Research Committee 6
35	1958 report of the Scientific Director of the Tobacco Industry Research Committee 7

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2 March 31, 1994

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## E X H I B I T S

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(Continued)

5 PolliceFor Ident.

6 36

1959 report of the Scientific  
Director of the Tobacco  
Industry Research Committee

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8 37

1960 report of the Scientific  
Director of the Tobacco  
Industry Research Committee

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10 38

1961 report of the Scientific  
Director of the Tobacco  
Industry Research Committee

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12 39

1962 report of the Scientific  
Director of the Tobacco  
Industry Research Committee

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14 40

1963 to 1964 report of the  
Scientific Director of the  
Council for Tobacco  
Research-U.S.A.

7

16 41

Report of the Scientific  
Director for 1964 to '65,  
for the Council for  
Tobacco Research-U.S.A.

8

19 42

Report of the Scientific  
Director for 1965 to '66  
for the Council for Tobacco  
Research-U.S.A.

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21 43

1966 to '67 report of  
the Scientific Director  
for the Council for Tobacco  
Research-U.S.A.

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## E X H I B I T S

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(Continued)

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44

1968 to '69 report of  
the Scientific Director  
for the Council for Tobacco  
Research-U.S.A.

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1969 to 1970 report of  
the Scientific Director  
for the Council for Tobacco  
Research-U.S.A.

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46

Annual report of the  
Council for Tobacco  
Research-U.S.A.,  
Incorporated, for the  
year ending June 30, 1971

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1972 report of the  
Council for Tobacco  
Research-U.S.A., Incorporated

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1973 report of the  
Council for Tobacco  
Research-U.S.A., Incorporated

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1974 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated

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1975 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated

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1976 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated

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1977 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated

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## E X H I B I T S

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(Continued)

5 PolliceFor Ident.

6 53

Report of the Council  
for Tobacco Research-U.S.A. 9

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8 54

1979 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated 9

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10 55

1980 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated 10

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12 56

1981 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated 10

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14 57

1982 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated 10

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16 58

1983 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated 10

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18 59

1984 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated 10

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1985 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated 10

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22 61

1986 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated 10

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24 62

1987 report of the Council  
for Tobacco Research-U.S.A.,  
Incorporated 10

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E X H I B I T S

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(Continued)

5 Pollice

For Ident

6 63

15-page list

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in  
HUMPHREY

51973 2443

UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION

MRS. SAMUEL E. ALLGOOD,  
INDIVIDUALLY AND AS  
INDEPENDENT EXECUTRIX OF  
THE ESTATE OF SAMUEL E.  
ALLGOOD, MARCUS ALLGOOD,  
AND MALCOLM ALLGOOD,

Plaintiffs,

vs.

R.J. REYNOLDS TOBACCO  
COMPANY, THE AMERICAN  
TOBACCO COMPANY, THE TOBACCO  
INSTITUTE, INC., AND THE  
COUNCIL FOR TOBACCO RESEARCH --  
U.S.A., INC.,

Defendants.

CIVIL ACTION NO. H-91-0158

AFFIDAVIT AND ERRATA SHEET FOR DEPOSITION  
ON WRITTEN QUESTIONS OF LORRAINE POLLICE

STATE OF NEW YORK )

SS.:

COUNTY OF NEW YORK )

LORRAINE POLLICE, being duly sworn, deposes and  
says:

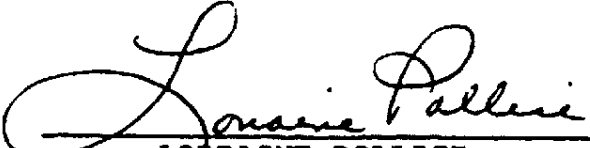
1. I am the witness in the deposition taken on  
March 31, 1994. I have read the transcript of my testimony  
given in this case. The testimony is true and correct to  
the best of my knowledge and belief, with the exception of  
the corrections and clarifications set forth below.

2. The changes to be made to the transcript, and the reasons for such changes, are as follows:

Page	Line	Change from:	To:	Reason:
3	6	distributions	exhibits	correction
6	16	G.	K.	transcription error
6	18	Wattenburg	Wattenberg	transcription error
7	2	Tobacco Research	Tobacco Industry Research	transcription error
7	22	the report	report	transcription error
11	3	institutions CTR	institutions, CTR	transcription error
12	22	Koton	Kotin	transcription error
13	3	Avrilla Lebow	Averill A. Liebow	transcription error
13	5	Wattenburg	Wattenberg	transcription error
19	16	Wattenburg	Wattenberg	transcription error
20	8	1954	1956	correction
22	25	data	year	correction
34	12	G.	K.	transcription error
34	14	Wattenburg	Wattenberg	transcription error
34	19	Research	Industry Research	transcription error
37	6	Report	1978 Report	transcription error

51973 2445



  
LORRAINE POLLICE

Sworn to before me this  
20<sup>th</sup> day of April, 1994

  
Notary Public

MARYLOU ARMEI  
Notary Public, State of New York  
No. 01AR5019756  
Qualified in Richmond County  
Commission Expires Nov. 21, 1995

produced by R.J.R.I.

in

HUNTER